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Investment services perimeter in public law (summary)

Dissertation focuses on a perimeter of selected investment services:

- reception and transmission of orders in relation to one or more financial instruments,
- execution of orders on behalf of clients,
- placing of financial instruments without a firm commitment basis,
- placing of financial instruments on a firm commitment basis and underwriting of financial instruments.

Author focuses on verifying correctness of implementation EU law into Polish law.

A dissertation begins with presentation of its place in a system of law and description of important definitions. The evolution of investment services regulation was presented parallel with evolution of a capital market law in Poland and European Union. Analysed are sources of interpretative premises useful in interpretation of brokerage services scope e.g. law in books, soft law of European Union, soft law of national competent authorities and judicial decisions. Each section regarding specific investment services contain comparison of investment service perimeter in European Union law and its implementation in Poland and in Germany.

Keywords: MIFID II, investment services, investment firms, brokerage services, trading in financial instruments